

**United Kingdom Client Disclosure**

Four Points Capital Partners LLC (“FPCP”) is not authorized under the Financial Services and Markets Act 2000. FPCP is a broker dealer registered with the United States Securities and Exchange Commission and the Financial Industry Regulatory Authority (“FINRA”). FPCP is operating under the overseas person exclusion pursuant to Article 72 of the FSMA which allows the firm to be exempt from authorization by the Financial Service Authorities (“FSA”). Accounts opened with FPCP will not be protected by the FSMA. The protection conferred by or under FSMA may not apply to the following:

1. Unsolicited real time communication relating to investment activities;
2. Investment activities that may be engaged as a result of the communication; and
3. Transactions between FPCP and clients resulting from the communication would not fall within the jurisdiction of any dispute resolution and compensation scheme(s) offered under the FSMA.

**By signing this disclosure form, I understand that FPCP is not registered with the FSA and that I may lose significant rights.**

\_\_\_\_\_  
Client Signature & Date

\_\_\_\_\_  
Client Signature & Date

\_\_\_\_\_  
Rep Name & Rep #

\_\_\_\_\_  
Principal Name

\_\_\_\_\_  
Rep Signature

\_\_\_\_\_  
Signature & Approval Date