

Associated Person / Additional Account Owner Information

Account #:

Rep ID #:

(Attach additional pages if more than one)

Type: Joint Holder Custodian/Guardian Partner Trustee Executor Authorized Person

Secondary's Legal Name

Social Security Number or Taxpayer ID Number

Date of Birth

Legal Address (No P.O. Boxes)

Legal Address line 2

City

State

Zip/Postal Code

Home Telephone Number

Business Number

Extension

Email Address

Cell Number

Citizenship: U.S. Other (W-8 Required)

Governmental ID: Persons (choose one): Drivers License Passport Military ID Other Government ID

Document Number

County/State of Issuance

Issuance Date

Expiration Date

Gender: Male Female **Marital Status:** Married Single Widowed Divorced **Number of Dependents:** **Age(s):**

Status Status: Employed Not Employed Retired Student Employer Name

Employer Address

Occupation

Address Line 2

City

State

Zip

Are you or your spouse employed by or otherwise associated with a stock exchange, a member firm of a stock exchange or FINRA, or a municipal securities dealer?

Yes No Employed by / Associated with

If yes, the entity with which you or your spouse are employed or associated must provide written consent to the opening of your account and provide an address to which duplicate confirmations and statements will be sent.

Are you, or any of your relatives sharing your home, a policy making officer, director, a 10% shareholder, or otherwise considered an affiliate of a publicly traded company for purpose of SEC Rule 144? Yes No Company Name Ticker

Are you (or are you related to someone who is) an employee or agent of INTL FCStone Financial INC. or a INTL FCStone Financial INC. affiliated company?

Yes No Name

Position

Relationship

Secondary Account Owner Signature

Date